

# Omics analyses in peritoneal metastasis—utility in the management of peritoneal metastases from colorectal cancer and pseudomyxoma peritonei: a narrative review

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**Abstract:** High-throughput “-omics” analysis may provide a broader and deeper understanding of cancer biology to define prognostic and predictive biomarkers and identify novel therapy targets. In this review we provide an overview of studies where the peritoneal tumor component of peritoneal metastases from colorectal cancer (PM-CRC) and pseudomyxoma peritonei (PMP) were analyzed. Most of the available data was derived from DNA mutation analysis, but a brief review of findings from transcriptomic and protein expression analysis was also performed. Studies reporting genomic analysis of peritoneal tumor samples from 1,779 PM-CRC and 623 PMP cases were identified. The most frequently mutated genes in PM-CRC were *KRAS*, *APC*, *SMAD4*, *BRAF*, and *PIK3CA*, while in PMP *KRAS*, *GNAS*, *FAT4*, *TGFBR1*, *TP53* and *SMAD3/4* mutations were most commonly identified. Analyses were performed by single-gene analyses and to some extent targeted next-generation sequencing, and a very limited amount of broad explorative data exists. The investigated cohorts were typically small and heterogeneous with respect to the methods used and to the reporting of clinical data. This was even more apparent regarding transcriptomic and protein data, as the low number of cases examined and quality of clinical data would not support firm conclusions. Even for the most frequently mutated genes, the results varied greatly; for instance, *KRAS* mutations were reported at frequencies between 20–57% in PM-CRC and 38–100% in PMP. Such variation could be caused by random effects in small cohorts, heterogeneity in patient selection, or sensitivity of applied technology. Although a large number of samples have been subjected to analysis, cross-study comparisons are difficult to perform, and combined with small cohorts and varying quality and detail of clinical information, the observed variation precludes useful interpretation in a clinical context. Although omics data in theory could answer questions to aid management decisions in PM-CRC and PMP, the existing data does not presently support clinical implementation. With the necessary technologies being generally available, the main challenge will be to obtain sufficiently large, representative cohorts with adequate clinical data and standardized reporting of results. Importantly, studies where the focus is specifically on peritoneal disease are needed, where the study designs are aligned with clearly defined research questions to allow robust conclusions. Such studies are highly warranted if patients with PM-CRC and PMP are to derive benefit from recent advances in precision cancer medicine.

**Keywords:** Peritoneal metastasis (PM); colorectal cancer (CRC); pseudomyxoma peritonei (PMP); genomics; transcriptomics

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## Introduction

Adding “-omics” to a molecular term generally signifies comprehensive or global analysis of a set of molecules, genomics referring to analyses of the genome, transcriptomics to analysis of the transcriptome, and so on, typically driven by the emergence of high-throughput technologies. Importantly, in disease entities where extensive profiling has been performed, integrated analyses are used to combine data from different molecular levels (“multiomic” analysis) (1). Based on more recent advances in high-throughput technologies, a number of additional biological levels may now be interrogated, such as epigenomics, proteomics, metabolomics, and microbiomics, providing the possibility to unravel the complexity of human disease. In the peritoneal metastasis (PM) field, most of the available data is derived from genomic and to some extent transcriptomic analysis using standard technologies, which is therefore the focus of this review. Additionally, although no high-throughput proteomic studies have been identified, we have chosen to also include a small number of available studies reporting on protein expression.

PM is a common metastatic phenomenon associated with several cancerous disease entities, and comprises variable extent of tumor dissemination to the peritoneal surfaces. PM represents a huge burden on patients, their relatives, and society in general, and its treatment poses a substantial therapeutic challenge for health care professionals. Depending on the primary cancer origin and therapeutic opportunities, individual prognosis varies greatly, from 4–8 months median life expectancy from diagnosis of PM from pancreatic cancer (2) to up to 42 months overall survival in resectable PM from colorectal cancer (PM-CRC) after surgical intervention (3). This review will focus on two important peritoneal disease entities that are commonly managed with a surgical treatment strategy, PM-CRC and pseudomyxoma peritonei (PMP). CRC is one of the most frequent cancers in the Western world, with the incidence rising in previous low-incidence countries because of increasing population age and life-style changes (4). Metastatic progression occurs in approximately 50% of cases and is the dominant cause of CRC mortality, with the liver, lungs and peritoneal cavity being the most common metastatic sites (5). PM-CRC is associated with poor

prognosis and poor response to systemic chemotherapy compared to other metastatic sites, and thus represents a particular therapeutic challenge (6). Current standard-of-care in resectable cases is cytoreductive surgery (CRS) and hyperthermic intraperitoneal chemotherapy (HIPEC). In contrast to PM-CRC, PMP is a rare cancer that most commonly originates from a ruptured appendiceal mucinous tumor. PMP exhibits an almost exclusive distribution to the peritoneal surfaces and may thus serve as a model disease of peritoneal tumor dissemination. As for PM-CRC, resectable cases are managed with CRS-HIPEC, but long-term outcomes are much more favorable, with up to 50% of cases being cured by the standard treatment with a median overall survival expectancy of 16 years (7). However, in cases where surgery cannot provide long-term disease control, therapeutic options are limited with very poor responses to systemic treatment.

Over the last two decades knowledge derived from omics analyses regarding molecular signaling pathways involved in cancer development, progression and metastasis has contributed to development of new therapeutic concepts in the cancer field. Examples are the success of targeting human epidermal growth factor receptor 2 (HER2) in breast cancer (8), the targeting of tyrosine kinases by imatinib which has completely transformed the outlook for subgroups of patients with gastrointestinal stromal tumors and chronic myeloid leukemia (9), and the emergence of drugs inhibiting immune checkpoint molecules that have shown activity in several cancer entities (10). These biomarker-driven therapies exploit specific dependencies of individual tumors, caused by single molecular aberrations, complex changes in signaling pathways, or dysfunction at the cellular interaction level. The knowledge of how to administer the correct drug to the right patient in the appropriate therapeutic setting requires identification and understanding of the molecular profile/composition of the individual cancer or metastatic site. There are a number of questions pertaining to the clinical management of PM-CRC and PMP where molecular knowledge in theory might contribute useful information, such as predicting prognosis, therapy response and aiding patient selection for surgery. Work to unravel the molecular features of PM is therefore ongoing among research groups across the world, including

efforts to characterize PM at the genomic, transcriptomic and protein levels. In this review we have chosen to focus only on reports where the peritoneal components of PM-CRC and PMP are subjected to molecular analysis, which means that details regarding the primary tumor and metastatic locations other than the peritoneum are not included. We will attempt to provide an overview of the current status of knowledge in the field focusing on the clinical utility of omics-derived data, address shortcomings and impediments to utilizing the information that is available today, and point out the most important steps to move the field forward. We present the following article in accordance with the Narrative Review Checklist (available at <http://dx.doi.org/10.21037/jgo-20-136>).

## Methods

Literature search was conducted in February 2020 in PubMed, using individual and combined terms such as peritoneal, carcinomatosis, metastases, PM-CRC, colorectal, pseudomyxoma peritonei, PMP, appendiceal, appendix, neoplasia, cancer, mucinous, molecular, characterization, profiling, sequencing, mutation, gene expression, transcriptome, copy number, proteomics, protein analysis, immunohistochemistry and mass spectrometry. Studies were excluded due to, e.g., very low number of cases or if number of cases was not possible to determine, and is further detailed in the relevant sections.

### *Molecular approaches*

The molecular methods used to analyze PM-CRC and PMP samples in the identified studies are generally standard methods, and details are thoroughly explained by experts elsewhere. We will only comment briefly on the main methods that have been applied, focusing on some aspects that may have relevance for the choice of methods in future studies of PM-CRC and PMP.

### *Genomic analyses*

Genomic analyses have been performed using three main groups of methods. For single-gene analysis polymerase chain reaction (PCR)-based assays are commonly used (11). PCR-based methods typically require low to medium amounts of input DNA (25–100 ng) to detect DNA fragments of 200–400 base pairs (bp), with high sample throughput (number of samples that can be analyzed

simultaneously), generally at a low cost, high sensitivity (proportion of mutated DNA in a sample can be as low as 0.1–1%) and with simple post-analytic data analysis (12). In some, generally less recent papers, classic Sanger sequencing was also utilized for single-gene analysis (13). This sequencing method requires a medium amount of input DNA (100 ng) for sequencing of one large DNA fragment (500–600 bp) at a time with high sample throughput. The cost is generally low and data analysis is simple, while the major draw-back is the relatively low sensitivity (requires 10–20% mutated DNA in the sample), which precludes analysis of samples with low tumor content. Although the principles of next-generation sequencing (NGS) are similar to Sanger sequencing, new technological platforms now enable massively parallel sequencing of millions of fragments simultaneously per run (14). A number of assay providers have tailored targeted panels specifically to investigate cancer, focusing on actionable mutations, quantifying tumor mutational burden, or broader, more explorative panels. Depending on the proportion of the genome to be analyzed and the desired sensitivity of detection, objective parameters of the method will vary substantially. For instance, for application of a small panel targeting 50–100 cancer-relevant genes, a small amount of input DNA (10–20 ng) will be required, while exome sequencing (sequencing all exons of the genome) may require more than 1 µg of DNA. The desired sequencing depth (the average number of times a particular nucleotide is sequenced per sample) will influence sample throughput, analytical sensitivity and not least the cost of performing NGS. If a small targeted panel is used, high sequencing depth can be obtained for analysis of a reasonable number of samples at an acceptable cost, providing acceptably high sensitivity (1–2% mutated DNA in the sample necessary) to detect mutations even in samples with low tumor content or mutations that are only present in tumor subclones (15). In contrast, if the main aim is to perform broad exploration of mutations in a sample; to achieve similar sensitivity, the per-sample cost will increase and sample through-put decrease dramatically. Common for all NGS strategies is that a downstream bioinformatics work-flow is required. Customized solutions are to some extent available, particularly from vendors of targeted panels, but nevertheless, bioinformatics competence is needed as part of the research team to ensure correct processing and interpretation of the data. Hence, for genomic analysis, the choice of method will depend to a great extent on the specific aim of the study and available resources with respect to funding and competence.

### *Transcriptomic analyses*

Analysis of the tumor transcriptome, i.e., the RNA molecules present in a tumor sample, presents a greater challenge than genomic analysis (DNA), if not only by the fact that from the ~20,000 human genes, more than 100,000 mRNA transcripts may be generated (16). Although analyses may be performed to quantify single RNA molecules using techniques such as reverse transcriptase PCR assays; for explorative purposes, high-throughput technologies are standard. The studies identified for the purpose of this review have reported transcriptomic information generated by oligonucleotide microarray analysis of RNA isolated from bulk tissue samples. However, unlike somatic mutations, which by definition originate in tumor cells and are identified by comparison with a well-established reference genome, analyses of detected RNA transcripts from bulk tumor samples is more complex. Unlike DNA, which has a stable molecular structure and is present at relatively constant levels, RNA molecules are less stable and the levels vary over time in response to internal and external stimuli. Furthermore, the transcription profile of a tumor sample depends on the cellular composition of the sample. The dominating cell type, often tumor cells, will contribute most to the profile, but a varying proportion of the transcripts will be derived from normal cells, such as fibroblasts, endothelial cells, and infiltrating immune cells. Down-stream transcriptome analysis also represents a challenge, as a plethora of bioinformatics and statistical tools exist to analyze RNA data, and no standardized methods or analysis pipelines exist. Although the added complexity makes interpretation of transcriptomic information from bulk tissue more demanding than genomic data, it also offers the possibility of capturing a more functional picture of tumor biology than genomic information alone, such as down-stream activity in signaling pathways that could be targeted by anti-cancer drugs.

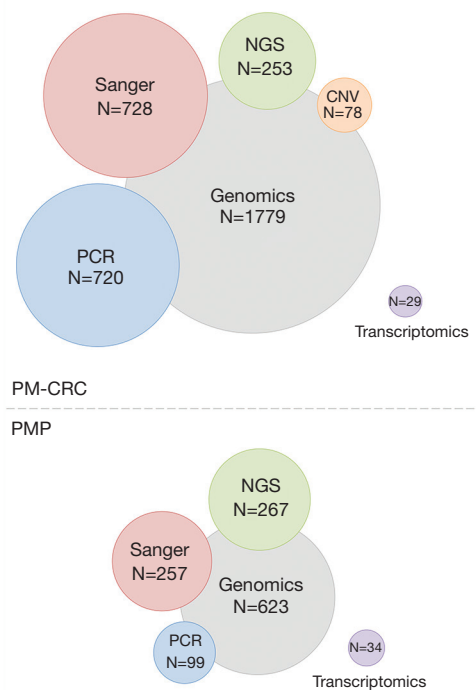
### *Proteomic analyses*

As RNA is translated to proteins, moving closer to the main effector molecules in the cells adds another vast layer of complexity; looking at numbers alone, >1,000,000 proteins have been identified, not including protein modifications (16). High-throughput proteome analysis of bulk tissue has some of the same challenges as were described for transcriptome analysis, but no high-throughput proteomic analyses were applied in the identified studies of PM-CRC and PMP samples. In these studies,

standard immunohistochemistry-based (IHC) methods were used to analyze formalin-fixed tissues. Provided that the antibodies used specifically bind the intended target, IHC has the advantage of identifying both the type of cells that expresses the protein in question and the location in the tissue, allowing (semi)quantitative comparison of samples. Quantification of the expression of specific proteins has been implemented in clinical practice as biomarkers for anti-cancer therapies, such as expression of HER2 being strongly predictive of response to anti-HER2 treatment in breast cancer (17). Thus, analysis of protein expression, although potentially complex and challenging, has already proven to be relevant for identification of biomarkers in cancer. However, because the target must be predefined, an important limitation of antibody-based approaches is the low potential for biomarker discovery; for such purposes, high-throughput, explorative methods are needed.

### *Tumor enrichment strategies*

As previously discussed, when analyzing bulk tissue samples, a concern is that the tissue will be variably composed of numerous cell types harboring different genomic, transcriptomic and proteomic profiles. In principle, particularly as immunotherapy is being increasingly implemented in the clinic, analytical “signal” derived from other cell types may be of equal interest, depending on the research questions. Often however, the focus has been on tumor mutation analysis or identification of tumor-specific transcriptomic profiles, and in the identified studies, different tumor enrichment strategies were applied to facilitate such analyses, particularly for the PMP studies. The “simplest” of these is macro dissection of the tissue specimen, where a hematoxylin-eosin (H&E) stained slide is inspected to identify areas of tumor tissue, and the tissue block may be dissected accordingly to enrich the tumor part of the sample. Manual micro dissection dramatically increases the workload, as tumor areas again are selected on an H&E slide that is subsequently used as a guide to dissect serial sections obtained from the same tissue block. With laser capture micro dissection, work load again increases and specialized equipment is needed. With this technique, it is possible to harvest cells of interest or remove undesirable parts of the tissue from slides under direct microscopic guidance. Whichever enrichment method is chosen, the sensitivity of the down-stream method will be crucial, for instance when performing mutation analysis in cases where the amount of tumor DNA is very low. For transcriptomic



**Figure 1** Overview of the number of samples (N) subjected to omics analysis in PM-CRC (upper panel, 22 studies included) and PMP (lower panel, 21 studies included), also showing the distribution between methods used within the field of genomics. PCR, polymerase chain reaction (including Luminex technology and High-Resolution Melting); Sanger, Sanger sequencing, NGS, next generation sequencing, CNV, copy number variation; PM-CRC, peritoneal metastases from colorectal cancer; PMP, pseudomyxoma peritonei.

analysis, another interesting post-analytical “enrichment” opportunity may be considered. Based on identification of transcriptomic profiles of individual cell types, algorithms using expression of cell type-specific markers may be used to predict the relative composition of cells in tissues based on gene expression. This strategy has proven particularly useful for quantification of immune cell infiltration in tumor tissues (18).

### Genomic analyses in PM-CRC

The identified literature on genomic analysis of PM-CRC mainly comprises mutation analyses (14 studies), with a subset of samples analyzed for copy number variation (CNV, 4 studies) (Figure 1). Analyses are reported based on single-gene analysis or NGS, and most of the studies

report data from moderately sized patient cohorts, typically comprising 50–60 cases, with some exceptions (Table 1). Two larger cohorts, which comprised 397 and 378 cases respectively, applied mainly single-gene mutation analysis, with NGS limited to a smaller subset of cases ( $n \approx 60$ ) in one of the studies (19,22). Seven studies (comprising 738 cases) reported data from cohorts composed of PM-CRC only, utilizing mainly single-gene analysis to examine the mutation frequencies of *KRAS* and *BRAF* (22–28). The remaining studies have had characterization of metastatic CRC (mCRC) as the main aim, comparing mCRC with primary CRC (pCRC) or comparing metastatic sites, and in a recent review of this topic, the proportion of PM-CRC in such studies was shown to be relatively low, comprising only 4% of the analyzed samples (22). We excluded such studies from analysis if the number of PM-CRC cases included was very low (typically <10 cases) or if it was not possible to derive the number of cases included. Studies that concentrated on associations between mutations identified in pCRC and later development of PM-CRC were also excluded, because this question was not the focus of this review and often, it was not clear if the mutation data was derived from analysis of pCRC or PM-CRC.

The most commonly occurring mutations were reported in the *TP53* (median 54%; min-max 33–75%), *KRAS* (45%; 20–57%), *APC* (44%; 31–57%), *SMAD4* (22%; 15–29%), *BRAF* (15%; 6–40%), and *PIK3CA* (13%; 9–14%), but the frequencies were quite variable for most of these genes (Table 1 and for details of individual studies in Table S1). Most of the data was derived from single-gene analysis (81% of samples) and the remaining studies used targeted NGS sequencing panels of different size (14%), with no broad exploratory analysis (exome or whole genome sequencing) being performed. Therefore, in principle, only mutations in predefined genes of known or suspected cancer relevance would be identified by these approaches. Interestingly, in one study where NGS analysis was performed in 51 PM-CRC cases using larger targeted gene panels (covering 341–468 genes) more than 104 gene mutations were reported at a frequency above 2%, although the top most frequently detected mutations were similar to the genes listed in Table 1 (20). Other frequently mutated genes identified in this study were *PTPRS* (12%), *NOTCH3* (10%), *EAT1* (10%), *RNF43* (10%), *FLT4* (10%), and *GRIN2A* (10%) (a list of other gene mutations detected >2% is available at <http://cdn.amegroups.com/static/application/7378337a6e200b5ef8e47395aec5de9f/JGO-20-136-table.docx>). The usefulness of extending the data by application of larger panels remains

**Table 1** Median frequency of mutated genes found in PM-CRC patient samples in more than one study

| Mutated genes               | Median mutation, frequency (%) [min-max] | Median # samples analyzed [min-max] | References                |
|-----------------------------|--|-------------------------------------|---------------------------|
| <i>TP53</i>                 | 54 [33-75]                               | 54 [51-57]                          | (19,20)                   |
| <i>RAS</i> , not specified* | 58                                       | 150                                 | (21)                      |
| <i>KRAS</i>                 | 45 [20-57]                               | 52 [11-397]                         | (19,20,22-31)             |
| <i>APC</i>                  | 44 [31-57]                               | 56 [51-61]                          | (19,20)                   |
| <i>SMAD4</i>                | 22 [15-29]                               | 56 [51-60]                          | (19,20)                   |
| <i>BRAF</i>                 | 15 [6-40]                                | 59 [11-378]                         | (19,20,22-25,27-30,32,33) |
| <i>PIK3CA</i>               | 13 [9-14]                                | 58 [51-103]                         | (19,20,23)                |
| <i>FBXW7</i>                | 9 [8-9]                                  | 55 [51-59]                          | (19,20)                   |
| <i>GNAS</i>                 | 4 [2-5]                                  | 55 [51-58]                          | (19,20)                   |
| <i>NRAS</i>                 | 4 [2-5]                                  | 96 [47-378]                         | (19,22)                   |
| <i>PTEN</i>                 | 4 [2-6]                                  | 55 [51-58]                          | (19,20)                   |
| <i>JAK3</i>                 | 4 [2-6]                                  | 55 [51-59]                          | (19,20)                   |
| <i>AKT1</i>                 | 3 [2-4]                                  | 55 [51-59]                          | (19,20)                   |
| <i>STK11</i>                | 3 [2-4]                                  | 54 [51-56]                          | (19,20)                   |
| <i>CTNNB1</i>               | 3 [2-3]                                  | 56 [51-61]                          | (19,20)                   |
| <i>HNF1A</i>                | 3 [2-4]                                  | 51 [50-51]                          | (19,20)                   |
| <i>SMO</i>                  | 3 [2-4]                                  | 54 [51-57]                          | (19,20)                   |
| <i>ERBB2</i>                | 2 [2-2]                                  | 55 [51-58]                          | (19,20)                   |

Data from (19) was provided through personal communication. \*, frequency of *KRAS* and *NRAS* mutations separately were not provided. PM-CRC, peritoneal metastases from colorectal cancer.

unclear, since too few samples have been analyzed to provide meaningful insight in a clinical context.

The available studies employed variable approaches to analyze the presence of mutations in a clinical context, and half of the reports were generated from general mCRC cohorts. An important emphasis has been placed on evaluation of associations between mutational profiles and clinicopathological parameters, such as whether the tumor was microsatellite stable or unstable, tumor differentiation, and pCRC location (right- or left-sided tumors), thus typically exploring relationships with known prognostic parameters in PM-CRC. Findings of general interest from these studies were that in *BRAF*-mutated mCRC, the pCRC tended to be located in the right colon, have mucinous differentiation and be associated with PM-CRC development with inferior clinical outcome (overall survival) compared to wild-type cases. In two studies reporting data from PM-CRC specifically, the presence of *BRAF* mutations was associated with inferior

overall survival (after CRS-HIPEC) in a study of 97 cases (25), and with inferior cancer specific survival in a study including 378 cases, which also reported similar associations with *KRAS* mutation (22). Six studies presented analysis of associations between the presence of single mutations or combinations of mutations in mCRC in general, but no associations between specific mutations in PM-CRC and outcome were reported (20,21,29,32-34).

### Analyses of CNV

Only 4 studies were identified reporting on CNV analysis of a total of 78 samples, with the largest study comprising 52 samples (Table 2). Two of the studies identified CNV in large areas of the genome, with genes associated with CRC being present in gained regions of chromosome 1p (*REG4* and *NOTCH2*) and 15q (*MAP2K1*, *SMAD3*, *SMAD6* and *IGF1R*). Chromosomal gains in these regions (both chr 1p and 15q or in 15q alone) were associated with poor overall

**Table 2** Chromosome regions of copy number gain and loss in PM-CRC

| Regions of CNV gains  | Regions of CNV loss                       | # samples analyzed | References |
|---|---|--------------------|------------|
| Chr. 1p/q, 2p/q, 5p, 7p/q, 8q, 12p/q, 13q, 15q, 16p and 20p/q | Chr. 1p, 4p/q, 8p, 15q, 17p/q, 18p/q, 22q | 52                 | (35)       |
| Chr 8*  | Chr 8, 18, 20, X*                         | 12                 | (36)       |
|   | Chr 1p                                    | 7                  | (37)       |
| Chr 7, 5p, 8q, 12p, 13q, 20                                   | Chr 4q, 8p, 17p, 18                       | 7                  | (38)       |

PM-CRC, peritoneal metastases from colorectal cancer; Chr, chromosome; CNV, copy number variation. \*, compared to matched pCRC.

**Table 3** Median frequency of mutated genes found in PMP patient samples (findings in more than one study)

| Mutated genes  | Median mutation, frequency (%) [min–max] | Median # samples analyzed [min–max] | References             |
|----------------|--|-------------------------------------|------------------------|
| <i>KRAS</i>    | 78 [38–100]                              | 18 [5–150]                          | (25,28,39–55)          |
| <i>GNAS</i>    | 44 [17–100]                              | 40 [5–66]                           | (39,42,43,45–55)       |
| <i>FAT4</i>    | 35 [20–30]                               | 8 [5–10]                            | (46,53)                |
| <i>TGFBR1</i>  | 21 [20–22]                               | 10 [9–10]                           | (46,52)                |
| <i>TP53</i>    | 17 [5–38]                                | 16 [5–75]                           | (41–43,46,49–51,53,54) |
| <i>SMAD3/4</i> | 16 [3–60]                                | 19 [5–66]                           | (42,50,51,54,55)       |
| <i>APC</i>     | 11 [2–20]                                | 19 [5–66]                           | (53–55)                |
| <i>ATM</i>     | 11 [6–16]                                | 19 [19–19]                          | (50,55)                |
| <i>FGFR2/3</i> | 7 [3–20]                                 | 15 [5–40]                           | (42,43)                |
| <i>PIK3CA</i>  | 6 [2–10]                                 | 31 [19–66]                          | (42,54,55)             |
| <i>CTNNB1</i>  | 6 [3–10]                                 | 25 [10–40]                          | (42,46,49)             |
| <i>HNF1A</i>   | 5 [3–7]                                  | 28 [15–40]                          | (42,43)                |

PMP, pseudomyxoma peritonei.

survival ( $\leq 2$  years) following CRS-HIPEC (35). When comparing CNV in PM-CRC with other CRC locations, gains in chromosomes 5p and 12p were found to be more commonly present in PM-CRC (38). In a study including 12 PM-CRC cases, concordance of CNV findings was reported when comparing pCRC samples with matched mCRC samples, including PM-CRC (36).

### Genomic analyses in PMP

In PMP, the variation in methods used for mutation detection was similar to that of PM-CRC with results being based on single-gene analysis or NGS (Figure 1). A total of 623 cases from 19 studies were analyzed and the most commonly reported mutations were detected in the

*KRAS*, *GNAS*, *FAT4*, *TGFBR1*, *TP53* and *SMAD3/4* genes at median frequencies of 78%, 44%, 35%, 21%, 17%, and 16%, respectively (Tables 3,4, and for details of individual studies in Table S2). The studies mostly comprised small cohorts and the variability between reported results was even more pronounced than for PM-CRC. For instance, the lowest detection frequency of *KRAS* and *GNAS* mutations were 38% and 17%, respectively, in contrast to other studies reporting mutations in up to 100% of cases for both genes. This rather extreme variation may be explained, in part, by small cohorts not providing representative selection of cases, in addition to varying amount of tumor cells in the analyzed tissues and different sensitivity of the employed detection methods. It is well known that PMP samples, particularly from low-grade tumors, are frequently

**Table 4** Median frequency of mutated genes found in PMP patient samples (additional mutations found in one study only)

| Identified by only one study | Mutation frequency (%) | # samples analyzed | References |
|------------------------------|------------------------|--------------------|------------|
| <i>BRAF</i>                  | 14                     | 21                 | (55)       |
| <i>cMET</i>                  | 11                     | 19                 | (55)       |
| <i>RB1</i>                   | 13                     | 8                  | (47)       |
| <i>RNF43</i>                 | 6                      | 66                 | (54)       |
| <i>NRAS</i>                  | 5                      | 21                 | (55)       |
| <i>MLH1</i>                  | 5                      | 19                 | (55)       |
| <i>AKT1</i>                  | 5                      | 19                 | (50)       |
| <i>PDGFRA</i>                | 3                      | 40                 | (42)       |
| <i>SMO</i>                   | 3                      | 40                 | (42)       |
| <i>CDH1</i>                  | 3                      | 40                 | (42)       |

PMP, pseudomyxoma peritonei.

dominated by large amounts of extracellular mucin, often with few or no visible tumor cells, and consequently, the DNA isolated from such samples will mainly be derived from normal cells. To compensate for this, different sample enrichment strategies were applied to almost 80% of the analyzed samples, such as macro dissection in 7%, manual micro dissection in 64%, and laser capture micro dissection in 8%. However, in cases with very few detectable tumor cells, the input DNA may still have been insufficient for reliable detection of mutations, given that the analytical sensitivity of the methods used varied substantially (between 1% and 20%). This also means that the probability of detecting mutations is likely to be higher in samples with high cellularity, which would suggest that high-grade cases would be over-represented among the cases where mutations were detected and the accuracy of reports regarding low-grade cases would be similarly lower.

For most of the PMP cases included (~75%), some clinicopathological data was available, but associations between such data and the presence of mutations were reported in only 19% of the cases. Two studies found that tumors with signet ring cell differentiation tended to exhibit wild-type *GNAS* (39) and *KRAS* (40), suggesting that the molecular drivers of this tumor entity may be undetermined. No significant differences were identified between high-grade and low-grade disease, mirroring the findings and conclusions of a recent systematic review of somatic alterations in primary appendiceal tumors (56).

Conflicting conclusions were drawn regarding mutation status and outcome, as two studies reporting single gene analysis of *GNAS* and *KRAS* in (n=55) (39) and of *KRAS* (n=64) (41) did not observe associations with overall survival. In contrast, a recently reported study using a small targeted NGS panel to analyze 40 PMP cases suggested that *GNAS* and *KRAS* mutations were associated with inferior progression-free survival (PFS), and the association was preserved for *KRAS* mutation in multivariable analysis (42). In 15 recurrent cases of PMP treated with capecitabine and bevacizumab where 20% responded to treatment, *GNAS* mutation was associated with shorter PFS compared to wild-type cases (43).

#### Transcriptomic analysis in PM-CRC and PMP

Four studies reported gene expression analysis performed on a total of 29 PM-CRC cases using microarray technology (57-60). Because of the low number of studies available, for descriptive purposes, we chose to include two studies that involved only 4 cases each (59,60). Gene expression profiles of PM-CRC showed high expression of genes involved in WNT signaling (*LGR5*, *RNF43*, *CTHRC1*), *FGFR4*, *CFTR*, *HOXA9*, *TNRC9*, *VIL1*, *V-MYB*, *MXRA5* and *COL12A1* (57,59,60). Twenty genes located on chromosome arm 5p, a region that is commonly gained in PM-CRC (Table 2), were highly expressed in PM-CRC compared to liver metastases and primary carcinomas (59).

Two of the studies included both PM-CRC and PMP samples, comparing these at the transcriptional level with somewhat conflicting conclusions. One study identified distinctly different gene expression patterns when comparing PM-CRC and PMP (n= 15 and 26, respectively), and suggested that gene expression signatures could predict patient outcome in PMP (57). Cases with poor overall survival were found to have high expression of mucin-related genes, such as *MUC-5AC*, *MUC-2*, and *TFPI2*. In contrast, a study comparing 6 PM-CRC and 8 PMP cases concluded that the gene expression patterns were quite similar, as only 64 out of 1,090 high variance genes were differentially expressed, while the profile was distinct from 14 liver metastases that were analyzed simultaneously (58). Genes involved in metastasis, angiogenesis, cell cycle regulation, cell proliferation and cell adhesion were distinctly altered between the two metastatic sites. Genes associated with metastasis, such as *CDH17*, *ALCAM*, *CD2* and *CD14* were highly expressed at both sites, while *TIMP-2*,



*IGF-1* and *HIF1- $\alpha$*  (involved in extracellular matrix, growth and angiogenesis) were highly expressed in only in PM-CRC and PMP compared to the liver metastases. Given the low number of samples included in the transcriptome analyses, the findings reported should be interpreted with caution and the available data is insufficient to provide guidance for management of PM-CRC and PMP.

### **Protein expression analysis in PM-CRC and PMP**

In contrast to pCRC and to some extent other mCRC sites, the exploration of proteomic biomarkers in PM-CRC has been very limited. Only one report was identified, where a large number of PM-CRC cases was available ( $n=465$ ) and protein expression was analyzed using IHC. However, interpreting the reported data was made difficult by a lack of reporting the number of samples analyzed for expression of individual biomarkers (only percentages were given). The main proteomic findings highlighted in this study were high expression of topoisomerase 1 (TOPO 1, in 54% of cases), which is suggested as a predictive biomarker of response to irinotecan; high expression of excision repair cross-complementation group 1 (ERCC1, in 41% of cases) which has been associated with resistance to oxaliplatin, and low expression of thymidylate synthase (TS, in 25% of cases), which is associated with responses to fluoropyrimidine-based therapies. Comparing PM-CRC to pCRC, the investigators found higher expression of TOPO1 and ERCC1, which would point to favoring irinotecan over oxaliplatin for treatment of PM-CRC. The main limitation of the study was the lack of detail with respect to reporting cases analyzed, leaving questions regarding the general validity of the results (19).

Three studies analyzing protein expression in PMP samples using IHC were identified. The number of samples included in each cohort was low (10–66 cases), but some of the findings were quite consistent across the studies for the most frequently analyzed proteins (Table S3). For TOPO1 (63–76% positive cases), ERCC1 (23–44% positive) and TS (8–16% positive), results were qualitatively relatively similar to the results reported for PM-CRC, pointing towards favoring irinotecan and fluoropyrimidines over oxaliplatin in PMP. Available data generally suggest low efficacy of systemic chemotherapy in PMP, and therefore, the clinical utility of these results may seem low. However, the relatively reproducible findings across the three studies for this subset of biomarkers suggest that focused analysis of protein expression could be feasible to validate.

## **Discussion**

The identification and interpretation of literature for this review was challenging because the available datasets were often complex and were not always clearly and consistently defined with respect to type and number of samples that were analyzed. For instance, in several studies, data from PM-CRC was reported together with results from pCRC, other metastatic sites, or even together with PMP cases, and the actual data contribution from each entity was often not distinguishable. Also, the number of cases analyzed by different methods could vary substantially within the same study (for instance combined data from NGS and single-gene mutation analysis), and this information could only be accessed by extensive scrutiny of supplementary files or by direct communication with the authors. This is illustrated by the study by El-Deiry *et al.*, which is an extensive study of almost 7,000 CRC cases, combining single-gene analysis, NGS and IHC to provide a broadly-based descriptive profile of pCRC and mCRC at the genomic and protein levels (19). Although the study included 465 PM-CRC cases, which is the largest cohort reported to date, no clinical data was available, and the number of PM-CRC cases included in analyses of individual parameters varied and was not reported specifically. When comparing findings between studies, incomplete descriptions of the included datasets is a major challenge, and the lack of dedicated studies reporting specifically on PM-CRC and PMP thus represents a major impediment to drawing solid conclusions in this context.

The most robust data was generated from mutation analyses. When comprehensively viewing the results, some data seems to be relatively consistently reported, such as order of the most frequently mutated genes in PM-CRC and PMP, but the large variation reported in gene mutation frequencies are a concern that leads to uncertainty regarding the true rates of even the most commonly detected mutations. When subgroup analyses are subsequently performed to investigate associations with clinicopathological parameters and outcome in the same cohorts, lack of consistency across studies would be expected. Therefore, the utility as to guiding treatment decisions regarding chemotherapy or selection of patients for CRS-HIPEC cannot in our opinion be deduced from these studies. Another important consideration when interpreting omics data in a clinical context is whether the samples analyzed can be considered to be representative of the disease entity in question. The potential bias towards

PMP samples with high cellularity being more likely to contribute to results in mutation analysis than low-grade cases was previously mentioned. Another issue, particularly relevant in PM-CRC, is that samples from almost all analyzed cases were collected at the time of surgery, i.e., by definition from potentially resectable patients, while samples from non-resectable patients are rarely available. This is of course almost inevitable, since specimens from patients in a metastatic setting are challenging to come by from both a practical and an ethical perspective. Since only a small proportion of PM-CRC cases are offered curatively intended surgical intervention, molecular findings in samples collected at such procedures may not be representative of the majority of PM-CRC cases, and validation in metastatic cohorts not eligible for surgery should be considered when possible.

Mutation analysis remains an important pillar in development of precision cancer medicine, although in mCRC, the number of actionable mutations is not high at present. Some of the mutations detected in PM-CRC and PMP samples have been shown to be associated with response or absence of response to specific drugs. For instance, *KRAS* mutations are associated with lack of response to EGFR inhibition, and mutation analysis has been implemented as a routine diagnostic criterion in many national programs to identify patients likely to benefit from therapy targeting EGFR (61). The *BRAF*<sup>V600E</sup> mutation, which is commonly detected in PM-CRC, has been successfully targeted in metastatic melanoma with BRAF inhibitors, but because of adaptive feedback reactivation of MAPK signaling often mediated by EGFR, lack of efficacy has been observed in mCRC. Recently, in a randomized trial investigating combined treatment targeting BRAF (dabrafenib), EGFR (panitumumab), and MEK (trametinib) in *BRAF*<sup>V600E</sup> mCRC, the combination treatment was shown to be feasible with a response rate of 20%, although the median PFS was only 4.2 months (62). This study illustrates how detailed biological knowledge may be translated directly into clinical trials in the mCRC field, with potential impact on future patient management. Although most of the current biomarkers in the precision cancer medicine field are genomic, the study also illustrates the key role of complex down-stream signaling in response and resistance to therapy, and biomarkers may in the future be derived from transcriptomic or proteomic characterization of cancer. Extensive research is ongoing within the pharmaceutical industry and academia to increase the repertoire of therapeutic targets and available drugs.

To ensure that the PM-CRC and PMP disease entities are well positioned when novel treatment opportunities arise continued efforts to establish detailed characterization of tumors remains of high importance.

## Conclusions

Although omics technologies have the potential to substantially impact cancer management, the information available from such approaches has not yet reached a level that can influence management of PM-CRC and PMP. In this review, we have pointed out some of the major hurdles that currently restrict integration of omics data in this setting. Since the technologies needed to address genomics and transcriptomics are now standardized, generally available, and becoming more affordable, the main challenge is not the technology, but obtaining sufficiently large, representative cohorts with adequate clinical data, including follow-up, to allow robust analysis with specific focus on peritoneal disease. Additionally, accurate, transparent, and standardized reporting of sample collection, processing and analysis will be necessary to allow cross-study comparisons. For PM-CRC, the volume in many centers may be large enough to support single-center cohorts, although an advantage could be gained through standardization to facilitate generation of larger combined cohorts across centers. For a rare disease such as PMP, work should be coordinated between centers to increase the number of available cases for analysis and maximize the output from limited resources, a work that is currently ongoing within the EuroPMP COST Action research network (63).

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**Table S1** Summary of studies presenting frequencies of mutated genes in PM-CRC

| First author | Year | Ref. | Samples | Methods     | KRAS    | BRAF   | NRAS   | RAS    | TP53  | PIK3CA | APC   | SMAD4 | GNAS | FBXW7 | PTEN | JAK3 | Other |
|--------------|------|------|---------|-------------|---------|--------|--------|--------|-------|--------|-------|-------|------|-------|------|------|-------|
| Gillern      | 2010 | (26) | 23      | PCR         | 11/23   |        |        |        |       |        |       |       |      |       |      |      |       |
| Yaeger       | 2014 | (32) | 123     | Sanger      |         | 40/123 |        |        |       |        |       |       |      |       |      |      |       |
| El-Deiry     | 2015 | (19) | 397     | NGS, Sanger | 191/397 | 25/355 | 2/96   |        | 19/57 | 13/103 | 19/61 | 9/60  | 3/58 | 5/59  | 1/58 | 1/59 | *     |
| Cremolini    | 2015 | (33) | 138     | Sanger      |         | 27/138 |        |        |       |        |       |       |      |       |      |      |       |
| Kawazoe      | 2015 | (29) | 52      | PCR         | 15/52   | 7/52   |        |        |       |        |       |       |      |       |      |      |       |
| Green        | 2015 | (28) | 11      | Sanger      | 2/11    | 4/11   |        |        |       |        |       |       |      |       |      |      |       |
| Sasaki       | 2016 | (23) | 117     | Sanger      | 46/100  | 13/47  |        |        |       | 5/58   |       |       |      |       |      |      |       |
| Fujiyoshi    | 2017 | (30) | 52      | PCR         | 26/52   | 9/52   |        |        |       |        |       |       |      |       |      |      |       |
| Massalou     | 2017 | (24) | 65      | PCR         | 28/64   | 7/65   |        |        |       |        |       |       |      |       |      |      |       |
| Yaeger       | 2018 | (20) | 51      | NGS         | 29/51   | 9/51   |        |        | 38/51 | 7/51   | 29/51 | 15/51 | 1/51 | 4/51  | 3/51 | 3/51 | **    |
| Passot       | 2018 | (21) | 150     | PCR         |         |        |        | 87/150 |       |        |       |       |      |       |      |      |       |
| Schneider    | 2018 | (22) | 378     | PCR         | 145/378 | 22/378 | 19/378 |        |       |        |       |       |      |       |      |      |       |
| Morgan       | 2019 | (27) | 47      | NGS         | 20/47   | 4/47   | 2/47   |        |       |        |       |       |      |       |      |      |       |
| Graf         | 2020 | (25) | 97      | NGS         | 44/97   | 10/82  |        |        |       |        |       |       |      |       |      |      |       |

The fraction of mutated samples of the total number of samples analyzed is listed for each gene studied. \*, *STK11* (2/56), *CTNNB1* (2/61), *AKT1* (1/59), *HNF1A* (1/50), *SMO* (1/57), *ERBB2* (1/58). \*\*, *STK11* (1/51), *CTNNB1* (1/51), *AKT1* (2/51), *HNF1A* (2/51), *SMO* (2/51), *ERBB2* (1/51). NGS, next generation sequencing; PCR, polymerase chain reaction.

**Table S2** Summary of studies presenting frequencies of mutated genes in pseudomyxoma peritonei (PMP)

| First author | Year | Ref. | Samples | Methods     | KRAS   | GNAS  | FAT4 | TGFBR | TP53 | SMAD3/4 |
|--------------|------|------|---------|-------------|--------|-------|------|-------|------|---------|
| Zauber       | 2011 | (44) | 31      | Sanger      | 31/31  |       |      |       |      |         |
| Nishikawa    | 2013 | (45) | 35      | PCR         | 35/35  | 16/35 |      |       |      |         |
| Shetty       | 2013 | (41) | 64      | PCR         | 37/64  |       |      |       |      |         |
| Alakus       | 2014 | (46) | 10      | NGS         | 10/10  | 9/10  | 3/10 | 2/10  | 1/10 | 6/10    |
| Singhi       | 2014 | (39) | 55      | Sanger      | 22/55  | 17/55 |      |       |      |         |
| Liu          | 2014 | (47) | 8       | NGS         | 3/8    | 2/8   |      |       |      |         |
| Sio          | 2014 | (48) | 10      | NGS         | 8/10   | 4/10  |      |       | 1/8  |         |
| Green        | 2015 | (28) | 5       | Sanger      | 2/5    |       |      |       |      |         |
| Hara         | 2015 | (49) | 16      | Sanger      | 6/16   | 3/16  |      |       | 6/16 |         |
| Davison      | 2014 | (40) | 150     | Sanger      | 86/150 |       |      |       |      |         |
| Nummela      | 2015 | (50) | 19      | NGS         | 19/19  | 12/19 |      |       | 1/19 | 3/19    |
| Noguchi      | 2015 | (51) | 18      | NGS         | 14/18  | 8/18  |      |       | 4/18 | 3/18    |
| Pietrantonio | 2016 | (42) | 40      | NGS         | 29/40  | 21/40 |      |       | 5/40 | 1/40    |
| Pietrantonio | 2016 | (43) | 15      | NGS         | 14/15  | 9/15  |      |       | 3/15 |         |
| Saarinen     | 2017 | (52) | 9       | NGS         | 9/9    | 5/9   |      | 2/9   |      |         |
| Pengelly     | 2018 | (53) | 5       | NGS         | 5/5    | 5/5   | 1/5  |       |      | 1/5     |
| Gleeson      | 2018 | (55) | 54      | NGS, Sanger | 15/31  | 14/19 |      |       | 1/19 | 3/19    |
| Tokunaga     | 2019 | (54) | 66      | NGS         | 49/66  | 42/66 |      |       |      |         |
| Graf         | 2020 | (25) | 13      | NGS         | 7/13   |       |      |       |      |         |

The fraction of mutated samples of the total number of samples analyzed is listed for each gene studied. NGS, next generation sequencing; PCR, polymerase chain reaction.

**Table S3** Frequency of protein expression and number of patients investigated for the different proteins

| Protein name   | Median % positive [range] | N median [range] | Ref.       |
|--|---------------------------|------------------|------------|
| Topoisomerase 1 (TOPO1)                                    | 63 [63–76]                | 43 [24–66]       | (54,55,64) |
| Excision repair cross-complementation group 1 (ERCC1)      | 30 [23–44]                | 30 [20–66]       | (54,55,64) |
| Thymidylate synthase (TS)                                  | 13 [8–16]                 | 45 [25–66]       | (54,55,64) |
| Phosphate and tensin homolog (PTEN)                        | 80 [75–98]                | 44 [24–66]       | (54,55,64) |
| Methyl guanine methyl transferase (MGMT)                   | 80 [76–95]                | 46 [25–66]       | (54,55,64) |
| Ribonucleoside-diphosphate reductase large subunit (RRM1)  | 8 [7–9]                   | 34 [23–44]       | (55,64)    |
| Tubulin $\beta$ -3 chain (TUBB3)                           | 31 [30–32]                | 18 [10–25]       | (55,64)    |
| P-glycoprotein (PGP)                                       | 89 [86–92]                | 37 [24–49]       | (55,64)    |
| Transducin-like enhancer protein 3 (TLE3)                  | 22 [21–23]                | 22 [14–30]       | (55,64)    |
| Topoisomerase 2 $\alpha$ (TOPO2A)                          | 22 [22–22]                | 34 [23–45]       | (55,64)    |
| Secreted protein acidic and rich in cysteine (SPARC)       | 36 [32–40]                | 38 [28–47]       | (55,64)    |
| Epidermal growth factor receptor (EGFR)                    | 82 [82–83]                | 17 [11–23]       | (55,64)    |
| Cyclooxygenase-2 (COX-2)                                   | 73                        | 11               | (55)       |
| Hepatocyte growth factor receptor (cMET)                   | 57 [50–63]                | 20 [12–27]       | (55,64)    |
| Mast/stem cell growth factor receptor Kit isoform 1 (cKIT) | 56 [54–58]                | 20 [15–24]       | (55,64)    |
| Platelet-derived growth factor receptors (PDGFR)           | 59 [58–60]                | 15 [10–19]       | (55,64)    |

## References

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